JC10 Rec'd SCT/PTO 2:9 373172007. OMB 0851-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTTORNEY'S DOCKET NUMBER
102790-138 (30074)
U.1 APPLICATION TO THE RESERVE OF THE PROPERTY OF

| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371   |   |  |   |  |  |  |  |  |  |  |
|---|---|--|---|--|--|--|--|--|--|--|
|   | TIONAL APPLICATION NO.<br>H03/00739   | INTERNATIONAL FILING DATE<br>12 November 2003 [12.11.2003] | PRIORITY DATE CLAIMED 14 November 2002 [14.11.2002] |  |  |  |  |  |  |  |
| TITLE OF INVENTION EDIBLE FILM CONTAINING FOOD ACID   |   |  |   |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US Margaret T. VIRGALLITO, Jing ZHANG  |   |  |   |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |   |  |   |  |  |  |  |  |  |  |
| 1.  | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |  |   |  |  |  |  |  |  |  |
| 2.  | This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.   |  |   |  |  |  |  |  |  |  |
| 3.  | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |  |   |  |  |  |  |  |  |  |
| 4.  | The US has been elected (Article 31).   |  |   |  |  |  |  |  |  |  |
| 5.  | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |  |   |  |  |  |  |  |  |  |
|   | a. is attached hereto (required only if not communicated by the International Bureau).  |  |   |  |  |  |  |  |  |  |
|   | b. As been communicated by the International Bureau.  |  |   |  |  |  |  |  |  |  |
| _   | c. is not required, as the application was filed in the United States Receiving Office (RO/US).   |  |   |  |  |  |  |  |  |  |
| 6.  | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |  |   |  |  |  |  |  |  |  |
|   | a. La is attached hereto.   |  |   |  |  |  |  |  |  |  |
| <del></del>   | b. has been previously submitted under 35 U.S.C. 154(d)(4).   |  |   |  |  |  |  |  |  |  |
| 7.  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |  |   |  |  |  |  |  |  |  |
|   | a. are attached hereto (required only if not communicated by the International Bureau).   |  |   |  |  |  |  |  |  |  |
|   | b. have been communicated by the International Bureau.  |  |   |  |  |  |  |  |  |  |
|   | c. have not been made; however, the time limit for making such amendments has NOT expired.  |  |   |  |  |  |  |  |  |  |
|   | d. have not been made and   | will not be made.  |   |  |  |  |  |  |  |  |
| 8.  | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |   |  |  |  |  |  |  |  |
| 9.  | An oath or declaration of the inventor  | r(s) (35 U.S.C. 371(c)(4)).                                |   |  |  |  |  |  |  |  |
| 10.   | An English language translation of th Article 36 (35 U.S.C. 371(c)(5)).   | e annexes of the International Preliminary                 | Examination Report under PCT                        |  |  |  |  |  |  |  |
| Item  | s 11 to 20 below concern document(s   | s) or Information Included:                                |   |  |  |  |  |  |  |  |
| 11. 🗸   | An Information Disclosure Statement   | under 37 CFR 1.97 and 1.98.                                |   |  |  |  |  |  |  |  |
| 12.   | An assignment document for recording  | ng. A separate cover sheet in compliance w                 | ith 37 CFR 3.28 and 3.31 is included.               |  |  |  |  |  |  |  |
| 13.   | A preliminary amendment.  |  |   |  |  |  |  |  |  |  |
| 14. 🗸   | An Application Data Sheet under 37  | CFR 1.76.  |   |  |  |  |  |  |  |  |
| 15.   | A substitute specification.   |  |   |  |  |  |  |  |  |  |
| 16.   | A power of attorney and/or change of  | f address letter.  |   |  |  |  |  |  |  |  |
| 17.   | A computer-readable form of the seq   | uence listing in accordance with PCT Rule                  | 13ter.2 and 37 CFR 1.821- 1.825.                    |  |  |  |  |  |  |  |
| 18. 🗸   | A second copy of the published Inter  | national Application under 35 U.S.C. 154(d                 | )(4).   |  |  |  |  |  |  |  |
| 19.   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |  |   |  |  |  |  |  |  |  |
| 20. 🗸   | Other items or information: ISR, Express Mail Certificate, Return Receipt Postcard  |  |   |  |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICATION NO. (King vir set 37 CF) 1.5) INTERNATIONAL APPLICATION NO.   |  |                        |                                     | ATION NO.      | ATTORNEY'S DOCKET NUMBER |          |  |  |  |  |
|--|--|------------------------|-------------------------------------|----------------|--------------------------|----------|--|--|--|--|
| U.S. APPLICATION 5733621.5)  |  |                        | PCT/CH03/00739                      |                | 102790-138 (30074)       |          |  |  |  |  |
|  | ing fees are submitted:  |                        |                                     |                |                          |          |  |  |  |  |
| a) Basic na  | ational fee  |                        |                                     | . \$300.00     | \$ 300                   |          |  |  |  |  |
| b) Examin  | nation fee   | \$ 200                 |                                     |                |                          |          |  |  |  |  |
| c) Search f  | fee  | \$ 400                 |                                     |                |                          |          |  |  |  |  |
|  | TOTAL OF ABOVE CA  | LCULATIONS             | e =                                 | \$1000.00      | \$ 900                   |          |  |  |  |  |
| listing or co  | fee for specification and domputer program listing file to sheets of paper or fractions. |                        |                                     |                |                          |          |  |  |  |  |
| Total Sheets   | Extra sheets   |                        |                                     | RATE           |                          |          |  |  |  |  |
| 17 - 100 =   | /50 =  |                        |                                     | x \$250.00     | \$                       |          |  |  |  |  |
|  | 30.00 for furnishing the oddate (37 CFR 1.492(e)).                                       | \$                     |                                     |                |                          |          |  |  |  |  |
| CLAIMS   | NUMBER FIL   | LED                    | NUMBER EXTRA                        | RATE           | \$                       | <u> </u> |  |  |  |  |
| Total claims   | s 11   | - 20 =                 | х                                   | \$50.00        | \$                       |          |  |  |  |  |
| Independent clai   | ims 1  | - 3 =                  | x                                   | \$200.00       | \$                       |          |  |  |  |  |
| MULTIPLE DEP   | PENDENT CLAIM(S) (if ap  | oplicable)             | +                                   | \$360.00       | \$                       |          |  |  |  |  |
|  |  | <u> </u>               | TOTAL OF ABOVE CALC                 | CULATIONS =    | \$                       | ·        |  |  |  |  |
| Applicant of by 1/2.   | claims small entity status.  | See 37 CFR             | 1.27. The fees indicated above a    |                | \$                       |          |  |  |  |  |
|  |  |                        |                                     | SUBTOTAL =     | \$                       |          |  |  |  |  |
|  | of <b>\$130.00</b> for furnishing to date (37 CFR 1.492(f)).                             | he English tra         | anslation later than 30 months from | m the earliest | \$                       |          |  |  |  |  |
|  |  |                        | TOTAL NAT                           | IONAL FEE =    | \$                       |          |  |  |  |  |
|  | g the enclosed assignmente cover sheet (37 CFR 3.  | \$                     |                                     |                |                          |          |  |  |  |  |
|  |  |                        | TOTAL FEES E                        | NCLOSED =      | \$ 900                   |          |  |  |  |  |
|  |  | Amount to be refunded: | \$                                  |                |                          |          |  |  |  |  |
|  |  |                        |                                     |                | Amount to be charged:    | \$ 900   |  |  |  |  |
|  | the transport of the   |                        | to count the above for              | - in analogod  |                          |          |  |  |  |  |
| a. A check in the amount of \$ to cover the above fees is enclosed.  |  |                        |                                     |                |                          |          |  |  |  |  |
| b. Please charge my Deposit Account No. 14-1263 in the amount of \$ 900 to cover the above fees. A duplicate copy of this sheet is enclosed.   |  |                        |                                     |                |                          |          |  |  |  |  |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 14-1263. A duplicate copy of this sheet is enclosed.                        |  |                        |                                     |                |                          |          |  |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |  |                        |                                     |                |                          |          |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.               |  |                        |                                     |                |                          |          |  |  |  |  |
|  |  |                        |                                     |                |                          |          |  |  |  |  |
| SEND ALL CORRESPONDENCE TO:  Andrew N. Parformak  Contourne No. 27280  |  |                        |                                     |                |                          |          |  |  |  |  |
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|  |  |                        |                                     |                |                          |          |  |  |  |  |

Attorney Docket No.: 102790-138 (30074 US)

APPLICANT:

Margaret T. VIRGALLITO et al-

20 Rec'd PCT/PTO 2 9 APR 2005

TITLE:

EDIBLE FIL CONTAINING FOOD ACID

This is a 371 of PCT/CH03/00739

## **EXPRESS MAIL CERTIFICATE**

"Express Mail" mailing label number: EV 586236509 US

Date of Deposit: 29 April 2005

I hereby certify that the following items:

- Transmittal Letter to the United States Designated/Elected Office (DO/EO/US)
   Concerning a Filing Under 35 U.S.C. 371 in duplicate CHARGE DEPOSIT ACCOUNT
- 2. Application Data Sheet
- 3. Specification
- 4. Copy of application as published WO 2004/043165 A1
- 5. Information Disclosure Statement with PTO-1449 and four (4) references
- 6. Copy of International Search Report dated 29 January 2004
- 7. Unexecuted Combined Oath, Declaration and Power of Attorney
- 8. Return Receipt Postcard

are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

NORRIS, McLAUGHLIN & MARCUS, P.A.

Kimberly Buttingham